**Chittagong Stock Exchange Limited**

**Online Certificate Course on Securities Laws of Bangladesh**

**Help line: Cell# 01711812110, Land Phone# 023333-20871-3, 023333-14632-3 ext: 117**

**E-mail: arif@cse.com.bd**.

|  |  |
| --- | --- |
| **Certificate:**  **Duration and time:**  **Date of Start:**  **Registration deadline:**  **Investment:**  **Mode of training:** | Certificate will be awarded to the Participants  February 22-24 & 27, 28 & March1, 2022 (12 hours+) from 07:00 pm - 09:00 pm  **February 22, 2022**  February 20, 2022  BDT.2,000 per participant  For student BDT. 1,500  Through ‘Zoom” (Video Conferencing) |

**Objective and Benefits of the Training:**

The program aims to provide participants with useful and keen insights on various aspects of the Securities Laws of Bangladesh.

**The key objectives of this program are:**

 To enable the participant to understand the critical securities market process, such as legal, due diligence and compliance.

 This course will help appreciate securities market inputs while those with a securities market background will better understand compliance and due diligence.

 The program is intended for those who want to begin their careers in legal and compliance area in securities market.

**Target Audience:**

* Stock Brokers, Asset Management Companies of Mutual Funds, Depository participant, Custodians, Regulatory Bodies, Law Firms, Investors, Investment Consultants, Portfolio Managers and Investment Advisors, Mutual Fund Agent, Investors, Corporate, Broking Houses, Financial Institutions, Fund Managers, Investment Bankers, Consultants, promising analysts.
* Working Employees in the financial markets area facing exposure to Securities Laws.
* Working Employees in the legal and compliance area facing exposure to the technicalities and Latest developments in the Securities Markets.
* Students seeking to supplement their knowledge in securities laws or the securities or securities market area.

**Facilitators:**

The training will be delivered by the high-class professionals and long experienced Stock Market experts. Those facilitators are efficiently dealing with stock market business as well as strong background in Bangladesh Securities Market Rules and Regulations. This time the training will be provided by **BSEC expert** and high experience Capital Market Trainer, preferably **Securities laws expert.**

Page 1 of 2

**Securities Laws of Bangladesh**

Module 1.

* Bangladesh Securities Exchange Commission Act, 1993
* Securities and Exchange Ordinance, 1969

Module 2.

* Securities and Exchange Commission (Stock Dealer, Stock Broker and Authorize Representatives) Rules, 2000

Module 3.

* Securities and Exchange Commission (Mutual Fund) Rules, 2001
* Securities and Exchange Commission (Merchant Bankers and Portfolio Manager) Rules, 1996

Module 4.

* Securities and Exchange Commission (Prohibition of Insider Trading) Rules, 1996
* Margin Rules, 1999

Module 5.

* Depository Act, 1999
* Depository Regulations, 2000
* Depository (User) Regulations, 2003
* Exchange Demutualization Act, 2013

Module 6.

* Securities and Exchange Commission (Public Issue) Rules, 2015
* Securities and Exchange Rules 2020

Module 7.

* Bangladesh Securities and Exchange Commission (Alternative Investment) Rules 2015
* Bangladesh Securities and Exchange Commission (Short Sale) Rules 2019

Module 8.

* CSE Listing Regulations, 2015
* CSE Investor Protection Fund Regulations, 2014,
* CSE (Settlement of Transaction) Regulations, 2013
* CSE Automated Training Regulations, 1998
* CSE TREC Holders Margin Regulations 2013
* CSE (Administration of Trading Right Entitlement Certificate) Regulations, 2020
* CSE (Administration of Trading Right Entitlement Certificate) Rules, 2020

The registration will be on first-come-first-serve basis. You can also contact:

**Training Department**

**Chittagong Stock Exchange Limited**

CSE Building, 1080 Sk. Mujib Road

Agrabad, Chittagong.

Page 2 of 2