

Chittagong Stock Exchange (Alternative Trading Board) Regulations, 2022

(As per regulation 31)

Listing and Annual Fees etc.—

(1) Issuer of equity securities or issuer or originator of debt securities or ABS or ISBS or asset manager or fund manager of any fund shall pay to the Exchange an initial listing fee at the following rates:

(a) ATB listing fee for equity securities:

(i) Up to BDT 10 crore of paid-up capital @ 0.075%

(ii) Above BDT 10 crore of paid-up capital @ 0.050%

Provided that the listing fee for such equity securities shall not be lower than BDT 20,000.00 but not exceeding BDT 2,00,000.00.

(b) ATB listing fee for debt securities or ABS or ISBS:

(i) Up to BDT 50 crore of size of the issue: BDT 50,000.00

(ii) Above BDT 50 crore to BDT 100 crore of size of the issue: BDT 1,00,000.00

(iii) Above BDT 100 crore to BDT 250 crore of size of the issue: BDT 1,50,000.00

(iv) Above BDT 250 crore of size of the issue: BDT 2,00,000.00

(c) ATB listing fee for any fund:

(i) Up to BDT 50 crore of size of the fund: BDT 50,000.00

(ii) Above BDT 50 crore to BDT 100 crore of size of the fund: BDT 1,00,000.00

(iii) Above BDT 100 crore to BDT 250 crore of size of the issue: BDT 1,50,000.00

(iv) Above BDT 250 crore of size of the issue: BDT 2,00,000.00

Provided that initial listing fee shall not be imposed for existing debt securities, ABS, ISBS, funds and equity securities (transferred as directed by the Bangladesh Securities and Exchange Commission) before these regulations come into force.

(2) Whenever issuer of equity securities or issuer or originator of debt securities or ABS or ISBS or asset manager or fund manager of any fund increases the number of securities or units listed at ATB, shall pay the Exchange a fee at the same rate as provided in sub-regulation (1) above for the additional securities or units to be listed;

(3) Every Issuer of equity securities or issuer or originator of debt securities or ABS or ISBS or asset manager or fund manager of any fund shall pay annual listing fee to the Exchange within 31st March of every Gregorian calendar year at the following rates:

(a) Annual listing fee for equity securities:

- (i) Up to BDT 10 crore of paid-up capital @ 0.025%
- (ii) Above BDT 10 crore of paid-up capital @ 0.010%

Provided that the annual listing fee for such equity securities shall not be lower than BDT 10,000.00 but not exceeding BDT 1,00,000.00.

(b) Annual listing fee for debt securities or ABS or ISBS:

- (i) Up to BDT 50 crore of size of the issue: BDT 15,000.00
- (ii) Above BDT 50 crore to BDT 100 crore of size of the issue: BDT 25,000.00
- (iii) Above BDT 100 crore to BDT 250 crore of size of the issue: BDT 50,000.00
- (iv) Above BDT 250 crore of size of the issue: BDT 1,00,000.00

(c) Annual listing fee for fund:

- (i) Up to BDT 50 crore of size of the fund: BDT 15,000.00
- (ii) Above BDT 50 crore to BDT 100 crore of size of the fund: BDT 25,000.00
- (iii) Above BDT 100 crore to BDT 250 crore of size of the issue: BDT 50,000.00
- (iv) Above BDT 250 crore of size of the issue: BDT 1,00,000.00

Provided that in case of failure to pay the annual listing fee within the stipulated time, the Exchange will notify and finally delist within 6 (six) months from the deadline of payment.

(4) Proportionate annual listing fee shall be applicable for additional securities or units for the number of days the new securities or units remain outstanding during the year of issuance;

(5) If Issuer of equity securities or issuer or originator of debt securities or ABS or ISBS or asset manager or fund manager of any fund listed with ATB intends to change its name, it shall pay to the Exchange a fee of Taka 5,000.00 (five thousand) prior to such name change.