

cĀvcb

bs-GmBum/wmGgAvi Avi wmw/2001-63/cĳvmb-

Zwi Lt 1j v Gwcĳ, 2003Bs

wmwKDwi wJR I G- tPĀ Kĳgkb, wWcwRUwi AvBb, 1999 Gi aviv 17 G cĳ Ē ħgZvetj, ce©cKvĳki ci, wbtæv³ cĳeavbgvj v cĳq b Kwi j, h_v:-

cĳg Aa"vq
cĳi wK

- 1| mswwĳB wktĳi vbvg|- GB cĳeavbgvj v wWcwRUwi (e"enwii K) cĳeavbgvj v, 2003 bvĳg AwfwnZ nBte|
- 2| msĀv|- (1) wel q ev cĳstĳMi cwi cšx tKvb wKQybv nBtj, GB cĳeavbgvj vq-
(K) ŌAvBbŌ A_wWcwRUwi AvBb, 1999 (1999 mĳbi 6 bs AvBb) ;
(L) ŌBtj KUwbK thvMvthvMŌ A_©KwūDUvi mn Btj KUwbK c×wZtZ tKvb thvMvthvM e"e"v;
(M) ŌDc-AvBbŌ A_©AvBtbi Aaxb Rwi KZ wWcwRUwi i Dc-AvBb;
(N) ŌZdmxj Ō A_©GB cĳeavbgvj vi mwnZ mshy³ tKvb Zdmxj ;
(O) Ōcwi Pvj bv g"vbqvj " A_wWcwRUwi i cwi Pvj bv g"vbqvj ;
(P) Ōe"v³Ō Aĳ_©tKvūvbx, msiewæ× ms"v, Askx"vix Kvievi ev Ab" tKvb tkYxi cĳZŌvbl Ašf³ nBte|

(2) GB cĳeavbgvj vq e"eüZ kĀ, hvnv Dc-cĳeavb (1) G msĀwqZ nq bvB Dnv, Securities and Exchange Ordinance, 1969 (XVII of 1969), wmwKDwi wJR I G- tPĀ Kĳgkb AvBb, 1993 (1993 mĳbi 15bs AvBb), Ges tKvūvbx AvBb, 1994 (1994 mĳbi 18 bs AvBb) mn Dnvĳ i Aaxb cĳxZ weagvj v I cĳeavbgvj vq thB Aĳ_© e"eüZ nBqvĳŌ tmb A_©SvBte|
- 3| AvcZKvjxb Ae"v Rwi|- (1) wWcwRUwi i w bqšb wenfZ tKvb wetkl cwi w"iZi (thgb fwgKū, bvkkZvgjK KgRvŌ, cĳKwZK "thvM, AwMkvŌ BZ"v) Kvĳtb thvMvthvM, we"jr, tUwj tdlv e"e"v ħwZMŌ-ev wech©-nBtj tKvb wWcwRUwi, Kĳgktbi mvĳ_ Avtj vPbvĳtg, AvcZKvjxb Ae"v Rwi Kwi tZ cwi te|
(2) Rwi KZ AvcZKvjxb Ae"vq tĳ bt" b cĳuqvi Rb" wWcwRUwi mvgnqKfvte wetkl e"e"v wbtZ cwi te hvnvi mĳtŌ Awej tĳ^(mĳeP PweYk Nūvi gĳa") KĳgkbĳK wj wLZfvte AewNZ Kwi tZ nBte|
- 4| wechĳ tgvKvtejvi e"e"v I c×wZ|- wWcwRUwi i Kwi Mix μw ev Ab" tKvb KvĳtY mų wechĳ ZvrħwYKfvte tgvKvtejvi Rb" chĳ e"e"v _wktZ nBte Ges GZ`msμvš-c×wZ GWwgbt÷kb g"vbqvtj (administration manual) weaZ nBtZ nBte|

- 5| $\mathbb{W}c\mathbb{W}RU\mathbb{W}i$ i Z_{-}^{\prime} i $\mathbb{W}bivc\ddot{E}v$ | - $\mathbb{A}vcZKvj$ $x\mathbb{b}$ $\mathbb{W}m\ddot{t}\div g$ mn $\mathbb{W}c\mathbb{W}RU\mathbb{W}i$ $GBifc$ mKj $e^{\prime}e^{-v}$ $M\ddot{h}Y$ Kwi $\ddot{t}e$ $hvnv\ddot{t}Z$ $\mathbb{W}c\mathbb{W}RU\mathbb{W}i$ $\ddot{t}Z$ i $\mathbb{W}\mathbb{I}Z$ Z_{-}^{\prime} $m^{\circ}uY^{\circ}\mathbb{W}bivc^{\prime}$ | $\mathbb{W}b\ddot{O}Z$ $_v\ddot{t}K$, Dnv $\mathbb{t}hb$ $b\ddot{o}$ ev $\mathbb{W}eKZ$ bv nq , $\mathbb{W}c\mathbb{W}RU\mathbb{W}i$ i $\mathbb{A}\ddot{s}g\mathbb{E}x$ | $e\mathbb{W}ng\mathbb{E}x$ (inward and outward) $Dcv\ddot{E}$ (data) $m\mathbb{A}vj$ b $\mathbb{t}hb$ $_B\mathbb{W}qZ$ (encrypted) nq Ges $\mathbb{W}c\mathbb{W}RU\mathbb{W}i$ $\mathbb{A}vBb$, $\mathbb{W}c\mathbb{W}RU\mathbb{W}i$ $c\ddot{e}avbgvj$ v mn GB $c\ddot{e}avbgvj$ v ev $\mathbb{W}c\mathbb{W}RU\mathbb{W}i$ i Dc - $\mathbb{A}vB\ddot{t}bi$ $\mathbb{W}eavb$ ev $c\times\mathbb{W}Z$ $Qvov$ $\mathbb{A}b^{\prime}$ $\mathbb{t}Kvb$ $f\ddot{v}\ddot{t}eB$ $\mathbb{t}hb$ $\mathbb{t}Kn$ D^3 Z_{-}^{\prime} $msM\ddot{h}$ ev $e^{\prime}envi$ Kwi $\ddot{t}Z$ bv $cv\ddot{t}i$ |
- 6| $\mathbb{A}F^{\prime}\ddot{s}iX$ $gj^{\prime}vqb$, $ch\mathbb{E}\mathbb{I}Y$ | $\mathbb{W}bq\ddot{S}Y$ $e^{\prime}e^{-v}$ | - $\mathbb{W}c\mathbb{W}RU\mathbb{W}i$ $\ddot{t}Z$ Dnv i $\mathbb{W}m\ddot{t}\div g$, $Kvh\mathbb{E}ia$, $\mathbb{W}bq\ddot{S}Y$ Ges $\mathbb{W}bivc\ddot{E}v$ $\mathbb{W}b\ddot{O}Z$ $Kivi$ Rb^{\prime} $ch\mathbb{E}B$ $\mathbb{A}F^{\prime}\ddot{s}iX$ $gj^{\prime}vqb$, $ch\mathbb{E}\mathbb{I}Y$ | $\mathbb{W}bq\ddot{S}Y$ $e^{\prime}e^{-v}$ $_v\mathbb{W}K\ddot{t}Z$ $nB\ddot{t}e$ |
- 7| Z_{-}^{\prime} , $\mathbb{t}iK\mathbb{W}^{\circ}BZ^{\prime}\mathbb{W}^{\prime}$ $msi\mathbb{I}Y$ $e^{\prime}e^{-v}$ | - (1) $\mathbb{W}c\mathbb{W}RU\mathbb{W}i$, $\mathbb{A}b^{\prime}v\mathbb{t}b^{\prime}i$ $g\mathbb{t}a^{\prime}$, $\mathbb{W}b\mathbb{a}wj$ $\mathbb{W}LZ$ Z_{-}^{\prime} , $\mathbb{t}iK\mathbb{W}^{\circ}BZ^{\prime}\mathbb{W}^{\prime}$, Dc - $\mathbb{A}vBb$ $\mathbb{O}viv$ $\mathbb{W}ba\mathbb{I}i$ Z $c\times\mathbb{W}Z$ $\mathbb{A}b\mathbb{m}i\ddot{t}Y$, $msi\mathbb{I}Y$ Kwi $\ddot{t}e$, h_vt -
 (K) $\mathbb{t}hB$ mKj $\mathbb{W}m\mathbb{K}Dwi$ $\mathbb{W}J$ $\mathbb{A}Ro$ ev $c\mathbb{p}tRo$ Kiv $nBqv\ddot{t}Q$ Dnv i $\mathbb{t}iK\mathbb{W}^{\circ}$;
 (L) $n^{\prime}v\ddot{s}iKvix$ | $n^{\prime}v\ddot{s}i$ $M\ddot{h}xZvi$ bvg , $\mathbb{W}Kv\mathbb{b}v$ Ges $n^{\prime}v\ddot{s}i$ i $Z\mathbb{W}i$ L ;
 (M) mKj $\mathbb{W}m\mathbb{K}Dwi$ $\mathbb{W}Ji$ $\mathbb{W}mve$ avi K (account holder) $\mathbb{A}b\mathbb{h}vqx$ $Z\mathbb{W}ij$ Kv ev $Bb\ddot{t}W$ · (index);
 (N) $\mathbb{W}c\mathbb{W}RU\mathbb{W}i$ $\mathbb{A}skM\ddot{h}bKvix$, $Bmj\mathbb{q}vi$, $Bmj\mathbb{q}v\mathbb{t}i$ i $c\ddot{O}Z\mathbb{W}ia$ Ges $\mathbb{W}mve$ $avi\mathbb{t}Ki$ $\mathbb{W}bKU$ $\mathbb{t}c\mathbb{I}i$ Z Ges $Zvrv\mathbb{t}^{\prime}$ i $\mathbb{W}bKU$ $nB\ddot{t}Z$ $M\mathbb{p}xZ$ mKj $\mathbb{W}b\mathbb{t}^{\prime}\mathbb{E}ki$ $\mathbb{t}iK\mathbb{W}^{\circ}$;
 (O) $\mathbb{t}cR$ (pledge) $ms\mathbb{p}v\ddot{s}$ - mKj $\mathbb{t}iK\mathbb{W}^{\circ}$;
 (P) mKj $\mathbb{A}skM\ddot{h}bKvix$ $m^{\circ}\mathbb{t}U$ $\mathbb{W}e^{\prime}\mathbb{W}i$ Z $\mathbb{W}eeiY$;
 (Q) $\mathbb{t}h$ mKj $\mathbb{W}m\mathbb{K}Dwi$ $\mathbb{W}J$ $\mathbb{A}Ro$ $\mathbb{A}e^{\prime}vq$ $msi\mathbb{I}Y$ i $\mathbb{t}h\mathbb{W}^{\prime}$ $\mathbb{W}m\mathbb{v}\mathbb{t}e$ $\mathbb{t}N\mathbb{W}i$ Z $nBqv\ddot{t}Q$ Dnv i $\mathbb{W}eeiY$;
 (R) $c\mathbb{t}h\mathbb{v}R^{\prime}$ $\mathbb{t}I\mathbb{t}I$, $\mathbb{W}m\mathbb{K}Dwi$ $\mathbb{W}J$ avi $M\ddot{h}Y$ | avi $c\ddot{O}vb$ (security borrowing and lending) $ms\mathbb{p}v\ddot{s}$ - $\mathbb{W}eeiY$; Ges
 (S) $\mathbb{A}b^{\prime}$ $\mathbb{t}h$ $\mathbb{t}Kvb$ Z_{-}^{\prime} ev $\mathbb{t}iK\mathbb{W}^{\circ}h\mathbb{v}nv$ $K\mathbb{W}gkb$ $KZ\mathbb{E}$ mgq mgq $msi\mathbb{I}Y$ i Rb^{\prime} $\mathbb{W}ba\mathbb{I}i$ Z nq |
- (2) $\mathbb{W}c\mathbb{W}RU\mathbb{W}i$ Dnv i $Z_{-}^{\prime}\mathbb{W}^{\prime}$, $\mathbb{t}iK\mathbb{W}^{\circ}$ Ges $\mathbb{W}K\mathbb{t}g\mathbb{U}$ $\mathbb{t}Kv_vq$ $msi\mathbb{I}Y$ Z $nB\ddot{t}e$ $Zvrv$ $c\mathbb{t}eB$ $K\mathbb{W}gkb\mathbb{t}K$ $\mathbb{W}j$ $\mathbb{W}LZ$ $f\ddot{v}\mathbb{t}e$ $\mathbb{A}e\mathbb{W}Z$ Kwi $\ddot{t}e$ |
- (3) $\mathbb{A}b^{\prime}$ $\mathbb{t}Kvb$ $\mathbb{A}vB\ddot{t}bi$ $\mathbb{W}eavb$ $c\ddot{O}Zcvj$ b $m\mathbb{v}\mathbb{t}c\mathbb{t}I$, $\mathbb{W}c\mathbb{W}RU\mathbb{W}i$ Dnv i $Z_{-}^{\prime}\mathbb{W}^{\prime}$, $\mathbb{t}iK\mathbb{W}^{\circ}$ | $\mathbb{W}K\mathbb{t}g\mathbb{U}$ $b^{\prime}bc\mathbb{t}I$ $m\mathbb{v}Z$ $ermi$ $msi\mathbb{I}Y$ Kwi $\ddot{t}e$ |
- 8| $\mathbb{W}bq\mathbb{W}Z$ $c\mathbb{W}i^{\prime}k\mathbb{B}$ $e^{\prime}e^{-v}$ | - $m\mathbb{p}f\mathbb{v}\mathbb{t}e$ $c\mathbb{W}i$ $\mathbb{P}vj$ bvi $D\mathbb{t}I^{\prime}\mathbb{t}k^{\prime}$ $\mathbb{W}c\mathbb{W}RU\mathbb{W}i$ Dnv i $\mathbb{W}m\ddot{t}\div g$, $Kvh\mathbb{E}ia$, $\mathbb{W}bq\ddot{S}Y$ | $\mathbb{W}bivc\ddot{E}v$ $e^{\prime}e^{-v}$ $\mathbb{W}bq\mathbb{W}Z$ $f\mathbb{v}\mathbb{t}e$ $c\mathbb{W}i^{\prime}k\mathbb{B}$ Kwi $\ddot{t}e$ Ges $c\mathbb{W}i^{\prime}k\mathbb{B}$ $c\ddot{O}Z\mathbb{t}e^{\prime}\mathbb{t}bi$ GK $K\mathbb{W}c$ $K\mathbb{W}gkb$ $_v\mathbb{W}Lj$ Kwi $\ddot{t}e$ |
- 9| $\mathbb{W}c\mathbb{W}RU\mathbb{W}i$ $KZ\mathbb{E}$ $Kvh\mathbb{E}i$ $B\mathbb{t}j$ $KU\mathbb{W}bK$ $\mathbb{t}h\mathbb{W}v\mathbb{t}h\mathbb{W}M$ $msi\mathbb{I}Y$ $e^{\prime}e^{-v}$ | - $\mathbb{W}c\mathbb{W}RU\mathbb{W}i$ $\mathbb{W}m\mathbb{K}Dwi$ $\mathbb{W}J$ $Bmj\mathbb{q}vi$, $\mathbb{W}c\mathbb{W}RU\mathbb{W}i$ $\mathbb{A}skM\ddot{h}bKvix$, $\acute{o}K$ G · $\mathbb{t}P\mathbb{A}$, $\mathbb{W}Kq\mathbb{W}is$ $K\mathbb{t}c\mathbb{E}i$ kb ev $n\mathbb{V}DR$, $\mathbb{W}Kq\mathbb{W}is$ $e^{\prime}vsK$ Ges $\mathbb{A}b^{\prime}$ $\mathbb{t}Kvb$ $\mathbb{W}c\mathbb{W}RU\mathbb{W}i$ $_v\mathbb{W}K\mathbb{t}j$ Dnv mn $K\mathbb{W}gkb$ $KZ\mathbb{E}$ $\mathbb{W}b\mathbb{t}^{\prime}\mathbb{E}KZ$ $\mathbb{t}Kvb$ $e^{\prime}w^3$, $KZ\mathbb{E}\mathbb{I}$ ev $c\ddot{O}Z\mathbb{O}v\mathbb{t}bi$ $m\mathbb{W}NZ$ $Kvh\mathbb{E}i$ $B\mathbb{t}j$ $KU\mathbb{W}bK$ $\mathbb{t}h\mathbb{W}v\mathbb{t}h\mathbb{W}M$ $msi\mathbb{I}Y$ $e^{\prime}e^{-v}$ $\mathbb{W}b\ddot{O}Z$ Kwi $\ddot{t}e$ |
- 10| $\mathbb{A}b^{\prime}vb^{\prime}$ $e^{\prime}w^3$ ev $c\ddot{O}Z\mathbb{O}v\mathbb{t}bi$ $m\mathbb{W}NZ$ $m\mathbb{t}h\mathbb{W}M\mathbb{Z}v$ | - $\mathbb{W}c\mathbb{W}RU\mathbb{W}i$ $c\ddot{O}Z^{\prime}K$ $\mathbb{W}mve$ avi K , $m\mathbb{Y}eav\mathbb{t}f\mathbb{W}x$ $g\mathbb{W}ij$ K ($c\mathbb{O}h\mathbb{v}R^{\prime}$ $\mathbb{t}I\mathbb{t}I$), $\mathbb{W}c\mathbb{W}RU\mathbb{W}i$ i $\mathbb{A}skM\ddot{h}bKvix$, $Bmj\mathbb{q}vi$, $Bmj\mathbb{q}v\mathbb{t}i$ i $G\mathbb{t}R\mathbb{U}$, $\mathbb{W}m\mathbb{K}Dwi$ $\mathbb{W}Ji$ $Kv\acute{o}\mathbb{W}qvb$, $\mathbb{W}Kq\mathbb{W}is$ $e^{\prime}vsK$, $\acute{o}K$ G · $\mathbb{t}P\mathbb{A}$, $\mathbb{W}Kq\mathbb{W}is$ $K\mathbb{t}c\mathbb{E}i$ kb ev $n\mathbb{V}DR$ Ges $\mathbb{A}b^{\prime}$ $\mathbb{t}h$ $\mathbb{t}Kvb$ $\mathbb{W}c\mathbb{W}RU\mathbb{W}i$ mn $K\mathbb{W}gkb$ $KZ\mathbb{E}$ $\mathbb{W}b\mathbb{t}^{\prime}\mathbb{E}KZ$ $\mathbb{t}Kvb$ $e^{\prime}w^3$, $KZ\mathbb{E}\mathbb{I}$ ev $c\ddot{O}Z\mathbb{O}v\mathbb{t}bi$ $m\mathbb{W}NZ$ $GBifc$ $m\mathbb{t}h\mathbb{W}M\mathbb{Z}v$ Kwi $\ddot{t}e$ $h\mathbb{v}nv$ $\mathbb{W}b\mathbb{f}\mathbb{I}\mathbb{t}h\mathbb{W}M^{\prime}$, $^{\circ}Z$ | $\mathbb{W}bivc^{\prime}$ mKj $\mathbb{t}mev$ $c\ddot{O}vb$ $\mathbb{W}b\ddot{O}Z$ $K\mathbb{t}i$ |

11| wKqwi s I tmtUj tğU e'e-vi mvt_ mgšq|- wKqwi s I tmtUj tğU e'e-vq cwi eZŋbi tKvb cŋve Kugkb h_vkxNŌmæ wWcuRUwi tK AewNZ Kwi te Ges wWcuRUwi Kugktbi Abtğw`Z cwi eZŋ Zvnvi wmt÷tg `Z mgštqi Rb` ht_vchj³ c`tŋc MŋY Kwi te|

12| ŋwZci tYi e'e-v|- wWcuRUwi i Kvi tY ŋwZM`-e`w³ tK AvBbvbtvqx ŋwZ ci Y w`evi Rb` wWcuRUwi i chŋ e'e-v _wKtZ nBte hrvni gta` exgv Ašf³ nBtZ cwi tet

kZ©_vtK th, exgvKvix cŋZŋvb, Dnvi tKvb AsM msMvb wKsev Dnv t` i tKvb KZŋZŋ, tkqvi tnv vi ev cŋZŋbwa, exgvi tğqv` Kvj xb mgtq wWcuRUwi i cIŋ Ašf³ nBtZ ev _wKtZ cwi te bv|

13| wWcuRUwi i `wqZi ev KZŋ Acŋ (**delegation**) wbtlavAv|- Kugktbi celŋwZ e`wZZ wWcuRUwi Dnvi wWcuRUwi Kv hAb` Kvnv t i v wKU Acŋ Kwi tZ cwi te bv|

14| wWcuRUwi Ae jŋβ mspvš-weavb|- tKvb wWcuRUwi Ae jŋβ nBtZ Pwntj meŋg Kugktbi wKU Ae jŋβi Abtğv` tbi Rb` wbæwj wLZ Z`mn wj wLZ Avte`b Kwi tZ nBtet

- (K) wWcuRUwi Ae jŋβ nBevi Kvi b;
- (L) wWcuRUwi thB fvte Ae jŋβ nBtZ Pvq Zvnvi eYŋ;
- (M) wWcuRUwi tZ i wŋZ wmwKDwi wJi wbi vcEv I tndvRZ wKfvte msi wŋZ nBte Ges wWcuRUwi i Ae jŋβi ci D³ wmwKDwi wJi wK nBte Zvnvi weeb;
- (N) wWcuRUwi thB me e`w³ ev cŋZŋv tbi mwnZ wmwKDwi wJi mspvš-wel tğ Pw³ ex Av tQ Zvnv mn mswkét i mvt_ `vq` wq tZi wK e'e-v MpxZ nBte Zvnvi we`wi Z weeb; Ges
- (O) Kugkb KZŋ Pvl qv Ab` th tKvb Z`t

kZ©_vtK th, tKej gvĤ Kugktbi wj wLZ Abtğv`b cvl qvi ciB tKvb wWcuRUwi Ae jŋβ mspvš-AvbŋwbKZv` i i` Kwi tZ cwi te|

wŌZxq Aa`vq
msi ŋYthvM` wmwKDwi wJi

15| wWcuRUwi tZ msi ŋYthvM` wmwKDwi wJi|- wWcuRUwi, Dnvi Dc-AvBt b Duj wZ c×wZ tZ, wWcuRUwi tZ ARo (dematerialized) Ae`vq msi ŋYthvM` Z_v thvM` wmwKDwi wJi wbaŋ Y Kwi te|

16| thvM` wmwKDwi wJi tkbx wfvM|- tKvb wWcuRUwi thvM` wmwKDwi wJi tK wbæwj wLZ tkbxtZ wef³ Kwi tZ cwi te Ges, Dc-AvBtbi kZ©`tctŋ, tKvb AskMŋbKvix wK ai tbi thvM` wmwKDwi wJi i ŋY Z_v tndvRZ Kwi tZ cwi te Dnv wbaŋ b Kwi tZ cwi te, h_vt-

- (K) óK G· tPtÄ Zwj Kvfy³ wmwKDwi wJi;
- (L) mi Kvi KZŋ BmjKZ eŰ gv tKŋ wmwKDwi wJi A_ev Ab` tKvb wmwKDwi wJi hrvn eŰ gv tKŋ wmwKDwi wJi wnmvte MY`; Ges
- (M) Ab`vb` wmwKDwi wJi, hrvni gta` BKBw Ges eŰ wmwKDwi wJi, wDPqvj dvŰ BDwbU, ewbwR`K KvMR (commercial paer) BZ`w` I Ašf³ nBtZ cwi te|

17| wj wós Gi Rb" thvM" wmwKDwi wJ|- (1) wWcwRUwi tK e'emv Pvj Kib mwUdtkU Bm-i ci Kwgkb GKwJ wbow` 8 Zwi L wbañ b Kwi te hnv i gta" óK G· tPtÄ Zwi Kvfj³ mKj wKsev tKvb wbow` 8 wmwKDwi wJ thvM" wmwKDwi wJ wnmvte tNvIYv Kwi te| AZtci Kwgkb KZ℞ wbañ Z Zwi tLi ci mKj ev tKvb wbow` 8 wmwKDwi wJ ARO (dematerialized) e"ZZ óK G· tPtÄ tj bt` b Kiv hvBte bvt

Zte kZ©_vtK th, Kwgkb, c0qvRb gtb Kwi tj , D³ Zwi L cwi eZ8 Kwi tZ Ges tKvb wmwKDwi wJ tK thvM" wmwKDwi wJ nBtZ Ae"vnuZ w` tZ cwi tet

Avi I kZ©_vtK th, wWcwRUwi i Dc-AvBb Kwgkb KZ℞ Abtgw` Z nBevi Zwi L nBtZ AZtci óK G· tPtÄ bZb Zwi Kvf³ mKj wmwKDwi wJ thvM" wmwKDwi wJ wnmvte wetewPZ nBte hnv ARO e"ZZ óK G· tPtÄ tj bt` b Kiv hvBte bv|

(2) Dc-c0eavb (1) Gi weavb mvctt¶, tKvb óK G· tPtÄ tmB wmwKDwi wJi wj wós myeav Ae"vnuZ ivLv nBtZ wei Z _vKte hnvvtK BwZgta" thvM" wmwKDwi wJ wnmvte tNvI bv Kiv nq bvB|

18| wWcwRUwi tZ msi¶tYi Rb" wWcwRUwi I Bmjqtii gta" Pw³|- wWcwRUwi tZ msi¶tYi Rb" wWcwRUwi I wmwKDwi wJ Bmjqvi wWcwRUwi i Dc-AvBb 0viv wbañ Z c×wZtZ Pw³ex nBtet

Zte kZ©_vtK th, thB t¶t¶ wWcwRUwi wbtRB Bmjqvi nBte tmB t¶t¶ D³ ifc Pw³ i c0qvRb nBte bv, Zte GB ai tYi Pw³ i t¶t¶ c0hvR" kZej x Bmjqvi wnmvte wWcwRUwi i Rb" I c0hvR" ewj qv MY" nBte|

19| wWcwRUwi tZ wmwKDwi wJ Bmjqtii DtI"v³v I cwi Pvj tKi wmwKDwi wJ msi¶tbi Rb" n`vŠtKiY|- tKvb wmwKDwi wJ thvM" wmwKDwi wJ wnmvte tNwI Z nBtj wWcwRUwi I mské-Bmjqtii mwnZ wWcwRUwi i Dc-AvBtbi weavb Abhvqx Pw³cI mαúv` b ce℞ mské-Bmjqtii DtI"v³v I cwi Pvj Kt` i wmwKDwi wJ wWcwRUwi tZ msi¶tbi Rb" `Z ARO Kwi tZ nBte|

ZZxq Aa"vq
wnmve msi ¶b

20| wnmve myeav|- (1) wWcwRUwi wbaej wLZ wnmve cwi Pvj bvi Rb" myeav c0 vb Kwi tZ cwi te, h_vt-
(K) wKqvwi s (**clearing**) wnmve|- tUwS AskM0bKvix, KvóW AskM0YKvix, tm tUj tgvU GtR>U AskM0YKvix Ges úY©tmevi AskM0bKvix óK G· tPtÄ tj bt` b w0úvEi Rb" GB wnmve e"envi Kwi tZ cwi te|
(L) c0vb (**principal**) wnmve|- tUwS AskM0YKvix, KvóW AskM0YKvix Ges cY©tmevi AskM0bKvix Zvrvt` i wBR`^wmwKDwi wJ msi¶tbi Rb" GB wnmve e"envi Kwi tZ cwi te|
(M) M0nK (**customer**) wnmve|- úY©tmevi AskM0bKvix Ges KvóW AskM0bKvix wwb tqvMKvix t` i bvtg wmwKDwi wJ msi¶tbi Rb" GB wnmve e"envi Kwi tZ cwi te|
(N) wgvj Z (**omnibus**) wnmve|- cY© tmevi AskM0bKvix Ges KvóW AskM0bKvix GKwaK wwb tqvMKvix i wnmve GKmv t_ msi¶tbi Rb" GB wnmve e"envi Kwi tZ cwi te|

(0) cĹ"Ŧ (direct) wmw| - wWcRUwi miwmi wwbŧqvMKvi xŧ` i wmwKDwi wJ msi Ŧŧbi Rb` GB wmwve e`envi Kwi ŧZ cwi ŧe|

(2) wWcRUwi i Ggb e`e`v _wKŧZ nBŧe hwnŧZ ŧh ŧKvb wmwve mnmv mbv³ Kiv hvq|

(3) cĹZwJ wmwve Dc-AvBŧb Dwj wLZ c×wZŧZ ŧLv v nBŧe|

21| cĹ"Ŧ wmw| - (1) wWcRUwi ŧKvb GKK bvŧg i`agvĤ GKwJ cĹ"Ŧ wmwve Lwj ŧZ cwi ŧe|

(2) cĹ"Ŧ wmwŧei avi K Ab` ŧKvb e`v³ i wmwKDwi wJ wbŧRi wmwŧe i wLŧZ cwi ŧe bv|

(3) i`agvĤ wj wLZ wbŧ`R ŧgvZŧeK wWcRUwi cĹ"Ŧ wmwŧei aviŧKi wmwKDwi wJ `vbwšŧ Kwi ŧZ cwi ŧe|

(4) ŧj bŧ`b nI qv mŧcŧŦŦ, wWcRUwi cĹ"Ŧ wmwve avi KŧK ŧKvb ŧj bŧ`b m`ubœnI qvi ci wmwŧei gwmK weebx cĹ vb Kwi ŧe hwnŧZ ceŹZxweebx nBŧZ eZŹvb weebx chŹ-mKj wmwKDwi wJ ŧj bŧ`b wj wce× _wKŧe| ŧKvb ŧj bŧ`b bv _wKŧj %ŧ gwmK wfwĤZ D³ weei Yx ŧ`I qv hvBŧe|

22| wmwve wgv vBqv ŧ`Lv| - wWcRUwi I Dnvi AskMŧbKvixi Ggb wnt÷g Z_v e`e`v _wKŧe hwnvi gvaŧg Bmj qvi, wWcRUwi Ges wWcRUwi AskMŧbKvixi gŧa` wmwKDwi wJ m`uKŹ mwK wmwve wgv vBqv ŧ`Lv hvq| Bmj qvi cĹZevi, Zvnvi wWcRUwi ŧi wRŹŧi ŧKvb wmwKDwi wJ AŹf³ nBŧj A_ev wWcRUwi ŧi wRŹvi nBŧj ŧKvb wmwKDwi wJ DŧĖwj Z nBŧj, wWcRUwi i mwnZ ARo wmwKDwi wJi w`wZ wgv vBqv ŧ`wLŧe|

23| wWcRUwi AskMŧbKvix KZŹ wmwve avi K Gi mwnZ Pw³ m`uv`b| - wWcRUwi AskMŧbKvix, wWcRUwi i Dc-AvBŧb ewYŹ diŧgU (format) Abŧhvqx, mKj wmwve aviŧKi mwnZ Pw³ m`uv`b Kwi ŧe|

24| wWcRUwi AskMŧbKvix KZŹ wmwve aviŧKi Rb` c_wK wmwve ŧLv v BZ`w`| - (1) wWcRUwi AskMŧbKvix Dnvi mKj wmwve aviŧKi Rb`, Dc-AvBb Ÿviv wbaŧi Z c×wZŧZ, c_wK wmwve Lwj ŧe, Dnŧ`i wmwKDwi wJ c_wK wmwve Abŧhvqx msi ŦŦY Kwi ŧe Ges GK wmwŧei wmwKDwi wJ Ab` wmwŧei wmwKDwi wJi mwnZ wgvj Z Kwi ŧe bv|

(2) wWcRUwi AskMŧbKvix, wWcRUwi i Dc-AvBŧb ewYŹ diŧgU (format) Abŧhvqx, wmwve aviŧKi wKw nBŧZ wbŧ`R cvI qvi ci Dnŧ`i wmwŧe wmwKDwi wJ n`všŧ KvhŹi Kwi ŧe|

25| wWcRUwi AskMŧbKvix KZŹ wmwŧei weei Yx (**statement**) BZ`w` cĹ vb| - wWcRUwi AskMŧbKvix Dnvi mKj wmwve avi KŧK, Dnŧ`i mwnZ m`uŧ` Z Pw³ Abŧhvqx, Z_v wWcRUwi i Dc-AvBŧbi weavb Abŧhvqx, wbw Ÿ mgqvŧŹ-wmwŧei weei Yx mieivn Kwi ŧe|

26| wWcRUwi AskMŧbKvixi mwnZ wmwve ŧLv v BZ`w`| - (1) wWcRUwi i ŧmev Mŧb Kwi ŧZ B`QK e`v³ Zvnvi cQ`gŧZv wWcRUwi AskMŧbKvixi mwnZ wmwve Lwj ŧZ cwi ŧe

Zte kZ©_v†K th, cŏZôvibK weib†qvMKvix e"ZZ †Kn GKB AskMöbKvixi mwnZ GKvnaK wnmve Lwj †Z
cwv†te bv|

(2) e^{ir}3 tkYxi Ges cōZōwbK wēbōqMkvix KZ³ Dc-AvBt^b Dwj ~~w~~Z dig cⁱY Kwiv^q Zvntⁱ i
cQ³ g^tZv wWcwRUwi AskMōbKvixi w^bKU D³ wnmve tLuj^{vi} Avte^b Kwit^z nBte, Ges
wWcwRUwi AskMōYKvix Z³ t^cō^qt^z Zvr^qWYK e^e-v MōY Kwit^e |

(3) Dc-AvBtb Dwj wZ dig ciY Kwicv wWcuRUwi AskMöbKvixi minZ iwqZ wnmve eÜ Kivi
Arte`b Kiv hvBte, Ges wWcuRUwi AskMöbKvix tmB Abhvqx ZvrqwbK e'e`v Möb Kwi tet

Zte kZ[©]_vK th, msikē-~~nmve~~ LvZ tKvb tC^R (pledge) ~~mwKDwi~~U _wKtj D³ nmve, eÜK
MñZvi ce^ggW e^wZtittK, eÜ Kiv hvBte br|

PZ_©Aa"vq
wWcwRUwi AskMöYKvi x

27 | WcWUwi AskMöbKvixi (**depository participant**) beÜb | - (1) GB cēavbgij vi Aaxb cÖß
beÜb mb` e`wZtiK tKn WcWUwi AskMöbKvixi nmvte KvR Kwi tZ cwi te bv |

(2) $\text{tKvb } \text{wWcuRUwi } \text{AskMöbKvi } x \text{ wmvte } \text{wbeÜtbi } \text{Rb}^{\text{t}}$

(K) $\text{Kugkb } \text{nBtZ } \text{mb}^{\text{c}} \text{öB } \text{wWcuRUwi } i \text{ mcvwi kmn } \text{Kugktbi } \text{wbKU } \text{Zdmxj } -\hat{0}\hat{1}\hat{0} \text{ G } \text{cö } \text{E } \text{QtK}$
 $\text{mswke}^{\text{c}} \text{wWcuRUwi } i \text{ gva}^{\text{t}} \text{tg } \text{Arte}^{\text{b}} \text{Kwi } \text{tZ } \text{nBte} ;$

(L) $\text{D}^3 \text{ Arte}^{\text{b}} \text{tbi } \text{QtK } \text{Dvj } \text{wLZ } \text{`wj } \text{j cI } \text{I } \text{Z}_- \text{ mn } \text{wmlKDwi } \text{wJR } \text{I } \text{G}^{\text{c}} \text{ tPÄ } \text{Kugktbi}$
 $\text{eiveti } \text{cö}^{\text{q}} \text{wd } \text{eve}^{\text{b}} \text{t}^{\text{a}} \text{sy}^3 \text{ AstKi } \text{e}^{\text{vsK}} \text{Wödu } \text{ev } \text{tc-AWf} \text{ `wLj } \text{Kwi } \text{tZ } \text{nBtet}$
 $\text{tUWs } \text{AskMöYKvi } \text{xi } \text{t}^{\text{q}} \text{tI} \text{ } \text{UvKv } 5,000$
 $\text{Ab}^{\text{vb}} \text{ AskMöYKvi } \text{xi } \text{t}^{\text{q}} \text{tI} \text{ } \text{UvKv } 10,000$

(M) $\text{wWcuRUwi } , \text{cöB } \text{Arte}^{\text{b}} \text{tbi } \text{wbw}^{\text{ö}} \text{ Ask } \text{ciY } \text{Kwi } \text{qv } \text{Dnv } , \text{D}^3 \text{ e}^{\text{vsK}} \text{Wödu } \text{ev } \text{tc-AWf} \text{ mn } ,$
 $\text{mvZ } \text{Kvh}^{\text{ö}} \text{etmi } \text{gta}^{\text{b}} \text{ Kugktbi } \text{wbKU } \text{`wLj } \text{Kwi } \text{te} ;$

(N) $\text{wWcuRUwi } \text{hw}^{\text{c}} \text{ tKvb } \text{Arte}^{\text{b}} \text{ tKvb } \text{Kvi}^{\text{tY}} \text{ Kugktbi } \text{eiveti } \text{wbeÜtbi } \text{Rb}^{\text{c}} \text{ mcvwi } \text{k } \text{Kiv}$
 $\text{hvBte } \text{bv } \text{ewj } \text{qv } \text{w}^{\text{c}} \text{i } \text{Kti } \text{Zte } \text{Dnv } \text{D}^3 , \text{KviY } \text{Dtj } \text{wLce}^{\text{ö}} , \text{mvZ } \text{Kvh}^{\text{ö}} \text{etmi } \text{gta}^{\text{b}}$
 $\text{Arte}^{\text{b}} \text{Kvi}^{\text{tK}} \text{wj } \text{wLZ } \text{fite } \text{RvbwBqv } \text{w}^{\text{c}} \text{te } \text{hvnvi } \text{GKwU } \text{Abvj } \text{wc } \text{GKBmv}^{\text{t}} \text{, cöB } \text{Wödu } \text{ev}$
 $\text{tc-AWf} \text{ mn } , \text{Kugktbi } \text{tcöY } \text{Kwi } \text{tet}$

Zte kZ©_v†K th, thB Kvi†Y Kwgk†bi eive†i A†te`bWU mycwik bv Kivi wmxvŠ-t†l qv nBq†Q
A†te`bKvix KZK D³ KviY `†xfZ Kwiqv c†ivq A†te`b Kiv hvB†e, Ges tmB t††† c†ivq w† c†vb
Kwi†Z nB†e bv|

28| WcWUwi AskMöbKvi x wnmvte ubeÜtbi thvM`Zv| - tKn GB cöavbgvj vi Aaxb WcWUwi AskMöbKvi x
wnmvte ubeÜtbi thvM` nBte, hw` -

(1) Arťe`bKvix wbaej wLZ th tKvb GKwJ tkYxf³ nq, h_vt-

- (K) Awl_R cŁZŏvb AvBb, 1993 (1993 mvtj i 27 baf AvBb) G msÁwqZ tKvb Awl_R cŁZŏvb ;
- (L) The Bank Companies Act, 1991 (XIV of 1991) G msÁwqZ tKvb e`vsK tKvauvbx ;
- (M) The Insurance Act, 1938 (IV of 1938) G msÁwqZ tKvb exgv tKvauvbx ;
- (N) tKvauvbx AvBb, 1994 (1994 mŕbi 18 bs AvBb) Gi Aaxb MwZ tKvb tKvauvbx ev Ab` tKvb AvBŕbi Aaxb wmcZ ev MwZ tKvb msweae^x ev weae^x ms`v;
- (O) Kwgkb KZ_R Abŕgw` Z tKvb wKqwis Kŕcvŕi kb, ōK G. tPŕĀi wKqwis nDR ;
- (P) Kwgkb nBŕZ mb` cŁB tKvb Kŕcvŕi U ōK wWj vi, ōK teŕKvi, gvŕPŕU e`vsKvi, mau` e`e`vcK, KvōwWqv ev Ab` tKvb cŕRevRvi ga`ZvKvix t

Zte kZ`_vŕK th, ōK wWj vi ev ōK teŕKvi i tŕŕŕĀ Dnvi bMŕ` cwi tKwaZ gj ab b`bZg cŕPk j ŕŕ UvKv nBŕZ nBte hrvni gŕa` AŠZt 75% mveŕŕwYK bxU mau` (net worth) wnmvte wKŕZ nBtet

Avi l kZ`_vŕK th, ōK wWj vi ev ōK teŕKvi tUWs AskMŕbKvix nBŕZ Pwntj tmB tŕŕŕĀ Dcŕiv³ kZ`cŕhvR` nBte bvt

AwaKŠ-kZ`_vŕK th, ōK wWj vi ev ōK teŕKvi Gi tŕŕŕĀ cŕeavb 28, Dc-cŕeavb (1) Gi `dv (P) G Dwj wLZ Kŕcvŕi U msŕvŠ-thvM`Zv Dnvi Rb` ej er Kwgkŕbi wmwKDwi wJR I G. tPĀ Kwgkb (ōK wWj vi, ōK teŕKvi I Abŕgw` Z cŁZŏvb) weagvj v, 2000 G Dwj wLZ msŕkŕ-wea cŕhvR` nBte;

(Q) Kwgkb KZ_R mgq mgq GZ`ŕŕŕk wbaŕi Z Ab` tKvb cŁZŏvb; Ges

(2) Arťe`bKvixi wWcwRUwi i Dc-AvBb ōviv wbaŕi Z AeKwŕŕgv, wbi vcĒv e`e`v Ges Dchŕ³ tj vKej _vŕK|

29| wWcwRUwi AskMŕbKvixi tKbxwefvM|- tKvb wWcwRUwi, Dc-AvBŕbi kZ`mvŕcŕŕŕ, AskMŕbKvix tK wbaej wLZ tKbxŕZ fvM Kwi ŕZ cwi te, h_vt-

- (K) tUWs (**trading**) AskMŕbKvix|- tKej gvĀ ōK wWj vi ev ōK teŕKvi GB tKbxi AskMŕbKvix nBŕZ cwi te Ges wR`^wnmve cwi Pvj bv mn ōK G. tPŕĀi tj bŕ`b wŕŕwĒi Rb` wKqwis wnmve cwi Pvj bv Kwi ŕZ cwi te|
- (L) cY`tmevi (**full service**) AskMŕbKvix|- GB tKbxi AskMŕbKvix wR`^wnmve, wKqwis wnmve Ges wŕbŕqMKvixi wnmve cwi Pvj bv Kwi ŕZ cwi te|
- (M) KvōwW (**custody**) AskMŕbKvix|- GB tKbxi AskMŕbKvix wR`^wnmve mn Zrvni MŕnKŕ` i KvōwW wnmve Ges ōK teŕKvi ev ōK wWj ŕŕi wKqwis wnmve cwi Pvj bv Kwi ŕZ cwi te|

(N) tmtUj tgu GtRu (settlement agent) AskMhbKvix|- GB tkYxi AskMhbKvix Kvgkb KZK Abtgw` Z nBtZ nBte Ges i'agvI Kvgktbi mb` c0B 0K tetKvi ev 0K wvj vi Gi ctq 0K G- tPtA maww` Z tj bt` b wbuEi Rb` tmev c0vb mspvš-nmve cwi Pvj bv Kwi tZ cwi te|

30| wWcuRUwi AskMhbKvix wnmvte wbeÜxKiY|- (1) wWcuRUwi AskMhbKvix wnmvte wbeÜxKiYi Rb` tKvb Avte`b c0Bi ci Kvgkb hw` GB gtg`mš0 nq th, Avte`bw GB c0eavbgvj vi Aaxb gÄj Kivi thvM` Zvrv nBtj, Avte`b c0Bi `k Kvhp etmi gta`, Kvgkb Dnv gÄj Kwi te Ges Avte`bKvixi AbKtj Zdmxj -020 G c0 E Qtk GKw wbeÜb mb` c0vb Kwi te|

(2) wbeÜxKiYi wongE tKvb Avte`b wetePbvi Rb` Avi I AwaK Z` c0qvRb nBtj Kvgkb, Avte`b c0Bi mvZ w`tbi gta`, D³ Z` Zj e Kwi tZ cwi te; Ges Kvgkb KZK wbaWi Z mgtqi gta` D³ Z` c0Bi ci Avte`bw MhbthvM` nBtj Kvgkb Dnv gÄj Kwi te Ges AwZwi³ Z` c0Bi `k Kvhp etmi gta` Avte`bKvixi AbKtj wbeÜb mb` c0vb Kwi te|

(3) wbeÜxKiYi Rb` tKvb Avte`b cixqvi ci Kvgkb hw` GB gtg`m×vš-DcbxZ nq th, Avte`bw GB c0eavbgvj vi Aaxb gÄj Kivi thvM` bñ, ev Dnv gÄj Kiv cR evRvi ev Rb`t`P mnvqK nBte bv, Zvrv nBtj wj wLZfvte KviY Dtj E Kwi qv Dnv c0Bi ctbi Kvhp etmi gta`, ev Dc-c0eavb (2) Gi Aaxb AwaK Z` c0Bi `k Kvhp etmi gta`, Kvgkb Dnv bvgÄj Kwi tZ cwi te t

Zte kZ`_vtK th, D³ KviY `txfZ Kwi qv Avte`bKvix cpivq wWcuRUwi i gva`tg Kvgktb Avte`b Kwi tZ cwi te|

(4) Kvgkb KZK c0 E tKvb wbeÜb mb` Dnv tZ Dwj wLZ kZPaxtb KvhrKi nBte hrvn c0vtbi Zwi L nBtZ GK eQi chS-KvhrKi _wKte|

(5) wWcuRUwi i AskMhbKvix KZK mieivnKZ tKvb Z` cieZKvtj cwiewZ nBtj Dnv wWcuRUwi Ges Kvgkb tK ZvrqYKfvte wj wLZfvte AewZ Kwi tZ nBte|

(6) c0Z eQtii Rb` Kvgktbi eive ti c0`q bevqb wd eve` wbtas³ AstKi e`vsK WtdU ev tc-AWf mn wWcuRUwi i gva`tg Zdmxj -030 G c0 E Qtk GKw Avte`b Kvgktb `wLj Ki Zt tKvb wbeÜb mb` cieZxGK eQtii Rb` bevqb Kiv hvBtet

tUWs AskMhYKvixi tqtTUvKv 2,000

Ab`vb` AskMhYKvixi tqtTUvKv 4,000

(7) Dc-c0eavb (6) G Dwj wLZ wbeÜb mb` bevqtbi Avte`b wbeÜb mbt` Dwj wLZ tgqv` AwZpvš-nl qvi GK gym cteB Kvgktb `wLj Kwi tZ nBte; e`_Zvq c0Z vej wZ w`tbi Rb` UvKv 500 nv ti Rwi gvbv Kvgktb Rgv Kwi tZ nBte|

31| MōnṭKi mīnZ P³|- (1) AskMōbKvix KZ[℔] MōnṭKi wmw Ges wgwj Z wmw msi ǁb Kivi tǁṭ cōZ`K MōnṭKi mīnZ wj wLZ P³ _wKṭZ nBṭe|

(2) D³ P³cṭṭi aib wWcwRUwi i Dc-AvBb ōviv wbaṭi Z nBṭe|

32| wWcwRUwi AskMōbKvix KZ[℔] wmwṭei Dci wḡšp|- (1) wKqwis wmwṭe i wǁjZ wmwKDwi w e`wZZ MōnṭKi wmwKDwi w nBṭZ AskMōbKvixi wmwKDwi w c_Kfṭe msi ǁb Kwi ṭZ nBṭe|

(2) tUWs AskMōbKvix, KvóW AskMōYKvix, tmtUj tḡU GṭRṬ AskMōYKvix Ges cY[©]tmevi AsMōbKvix tḡ bṭ`b wḡ`úwĒi Rb` Dc-AvBb tḡvZṭeK wKqwis wmw Lwj ṭZ cwi ṭe|

(3) cY[©]tmevi AskMōbKvix Ges KvóW AskMōbKvix wwbṭqvmKvixi bṭḡ wmw Ges, cvi`úw K P³ mṭcṭǁ, wgwj Z wmw Lwj ṭZ cwi ṭe|

(4) tmtUj tḡU GṭRṬ AskMōYKvix Zvnvi MōnK A_ǁ óK tǁKvi ev óK wWj vṭi i Rb` wKqwis wmw Lwj ṭZ cwi ṭe|

(5) óK tǁKvi ev óK wWjvi KZ[℔] Zvnṭ`i MōnṭKi wḡKU nBṭZ ṭKej ḡṭ wḡq Avṭ`k cvl qvi ciB MōnṭKi wmw nBṭZ wKqwis wmwṭe wmwKDwi w ṭvḡšṭ Kwi ṭZ nBṭe| wḡq Avṭ`k KvḡṬi Kiv nBṭj wṭbi KvḡṬ em tkl nBevi AvṭMB Zvn wKqwis wmwṭe ṭvḡšṭ Kiv nBṭe| wḡq Avṭ`k ewwZj Kiv nBṭj wṭbi KvḡṬ em tkl nBevi AvṭMB Zvn wKqwis wmw nBṭZ MōnṭKi wmwṭe cḡivq ṭvḡšṭ Kwi ṭZ nBṭe

Zṭe kZ[©]_vṭK th, Kḡkb nBṭZ wbeÜb mb` cōB KvóWqvj tmev cōvḡvix cōZōvṭbi tǁṭ Zvnṭ`i Rb` GZ`msṡvš`w`ḡvb wḡv cōḡvR` nBṭe|

(6) óK G· ṭṭṂi ḡvṭḡ KZ tḡ bṭ`b mswkó-tmtUj tḡU wḡqg Abḡvqx wḡ`úwĒi nBṭe|

(7) AskMōbKvix mKj ḡḡṭ wKqwis Ges wgwj Z wmwṭei cōKZ ḡwḡ Kvḡ mṡṡvš`wēibx msi ǁb Kwi ṭe Ges wWcwRUwi A_ev Kḡkb Gi Pwn`v tḡvZṭeK Dnv mi eivn Kwi ṭZ eva`_wKṭe|

33| wWcwRUwi AskMōbKvix KZ[℔] KvḡṬi Bṭj KUḡbK mṭḡvM msi ǁY BZ`w`|- wWcwRUwi AskMōbKvix, wWcwRUwi i Dc-AvBṭb ewY[©] c×wZṭZ, Dnv th wWcwRUwi i AskMōbKvix Zvnvi mīnZ, KvḡṬi Bṭj KUḡbK mṭḡvM msi ǁY e`e`v wḡōZ Kwi ṭe|

34| wWcwRUwi AskMōbKvixi Z_, tiKW[©]BZ`w` msi ǁY|- (1) Dc-AvBb ōviv wbaṭi Z c×wZṭZ, wWcwRUwi AskMōbKvix KZ[℔] h_vh_ Z_, tiKW[©]BZ`w` msi ǁY wḡōwZi wḡḡṭĒ Dnvi Af`šṭxY ḡj`vqb I wḡixǁvi chḡ e`e`v _wKṭZ nBṭe|

(2) wWcwRUwi AskMōbKvix KZ[℔] wWcwRUwi i mīnZ Dnvi tiKW[©]cōZ`K Kḡḡ eṭm wḡj vBqv t`wLṭZ nBṭe|

- (3) WcURUwi AskMöbKvix KZℙ, WcURUwi i Dc-AvBtb eWYℙ c×wZtZ, wbow`Θ mgq mgwBtZ WcURUwi i wBKU wbow`Θ Qtk wi Uvb[©](return) Rgv w`tZ nBte|
- (4) Kvgkb KZℙ PwnevgvÎ Pwn`vcÎtÎ Dwj wEz Z_ cÖZ`K WcURUwi AskMöbKvix Kvgkbtk mieivn Kwi te|
- (5) WcURUwi AskMöbKvix, Ab`vfb`i gta`, wævj wLZ Z_ Kvgkb, WcURUwi ev Kvgkb KZℙ wbt`KZ tKvb e`w³ ev cÖZövb Øviv cwi`kØ I cix¶vi Rb` me[©]v msi¶Y Kwi te I cÖZ i wLte, h_vt-
- (K) WcURUwi Ges wnmve avi K Gi cÖZ`KuU tj bt`b mspvš-Z_;
- (L) WcURUwi AskMöbKvixi gva`tg mαúw Z ARO (dematerialized) Ges cptRoKZ (rematerialized) wmwKDwi wJ mαúwKZ mKj ti KW[©],
- (M) cÖZ`K wnmve avi tKi wBKU nBtZ cÖB mKj wbt`R Ges Zvrvt` i wBKU tcÖi Z wnmvtei tóUtgfbUi Kw; Ges
- (N) tcR-mspvš-mKj Z_ |
- (6) WcURUwi AskMöbKvix Dnvi Z_, ti KW[©]BZ`w` tKvb `vfb msi¶Y Kwi tZtQ Zvrv Kvgkb I WcURUwi tK cteB wj wLZfvte RvbwBqv w`te Ges Dnvi tKvb cwi eZØI Zvr¶wYKfvte Kvgkb I WcURUwi tK wj wLZfvte RvbwBtZ nBte|
- (7) cÖhvR` Ab` tKvb AvBtbi weavb cvj b mvtc¶¶, WcURUwi AskMöbKvix Dnvi Z_, ti KW[©]Ges WKtgU b`bZg mvZ ermi msi¶Y Kwi te|

- 35| GKwaK WcURUwi i AskMöbKvixi t¶tÎ c_K Z_ msi¶Y|- tKn GKwaK WcURUwi i AskMöbKvix nBtj cæavb 34 G Dwj wEz Z_, ti KW[©]BZ`w` cÖZ`K WcURUwi i Rb` c_K fvte msi¶Y Kwi te|
- 36| WcURUwi AskMöbKvixi Kvhej x cwi`kØ|- WcURUwi AskMöbKvix th tKvb mgq Kvgkb ev WcURUwi KZℙ wby³ tKvb Abtgw` Z e`w³ tK Dnvi Kvhej tq cök Ges Dnvi Kvhej x, ti KW[©]BZ`w` cwi`kØ Kwi tZ w`tZ eva`_wKte|
- 37| WcURUwi AskMöbKvixi Kvhej x Acfb (delegation) wbtLavÁv |- tKvb WcURUwi AskMöbKvix Dnvi WcURUwi AskMöYKvixi Kvhej WcURUwi i ceöwZ e`wZZ, Afb`i wBKU AcÖ Kwi tZ cwi te bv|

cÂg Aa`vq
wmwKDwi wJ ARO ev cptRoKiY

- 38| wmwKDwi wJ ARO Kivi Rb` Rgv t` I qv BZ`w` |- (1) WcURUwi tKvb wmwKDwi wJ ARO Kivi thvM` tNvIYv Kwi tj D³ wmwKDwi wJi gwvj K WcURUwi tZ A_ev WcURUwi AskMöbKvixi mvf_ wnmve Lwj qv wbr`^ bvtgi wmwKDwi wJ, A_ev KvóWqv nBtj Zvrv Mön tKi bvtgi wmwKDwi wJ, ARO Kivi Rb` Bm`pvti i wBKU Rgv w`tZ cwi te|

39| AROKZ wmwKDwi wJ cjtRo Ae`vq cwieZB|- Dc-AvBtbi weavb mvtctq, tKn B`Qv Kwi tj Zvnvi wnmvte RgvKZ ARO wmwKDwi wJtK cjt vq Ro Ae`vq cwieZB Kwi tZ cwi te|

40| wmwKDwi wJ ARO Ges cjtRo Kivi cjuqv (procedure) Dc-AvBb 0viv wbaui Z nBte|

I o Aa`vq
wmwKDwi wJi gwj Kvbvq cwieZB

41| wmwKDwi wJi gwj Kvbvq cwieZBbi tqt t gj` cwi tktai w0qZv|- wWcuRUwi GB gtg w0Z Kwi te th, Dnvi Ggb e`v i wqvQ hvni gva`tg oK G. tPA wmwKDwi wJ tj bt`bi tej vq, c0hvR` tqt t, gj` cwi tktai w0qZv c0Bi ciB tKej wmwKDwi wJi gwj Kvbv n`vsi Z nBte|

42| oK G. tPA tj bt`b e`wZZ wmwKDwi wJi gwj Kvbv GK wnmve nBtZ Ab` wnmvte `vbsi BZ`w|- (1) wWcuRUwi AskM0bKvix Dnvi wnmve avi tKi vj wLZ AbgvZ e`wZZ tKv wmwKDwi wJ `vbsi Kwi tZ cwi te bv|

(2) tKv wnmve avi K ev cZ`q wnmve avi K Zvnvi wnmve nBtZ Ab` wnmvte wmwKDwi wJ `vbsi Kwi tZ Pwntj Dc-AvBt Dwj wLZ dig ciY Kwi qv, c0hvR` tqt t oK G. tPA ev Kvgktbi AbgvZc t mn, wWcuRUwi AskM0bKvixi ev wWcuRUwi i w0KU Rgv w te| wWcuRUwi AskM0bKvix ev wWcuRUwi Zrv w0K fvte D³ di tg c0 E Z`w i h_v_Zv cixqv Kwi qv mwK cvBtj Zte Zvnv Dnvi wmt ÷ tg vj wce x Kwi te|

(3) tKv wnmve avi K ev cZ`q wnmve avi K Zvnvi wnmvte wmwKDwi wJ `vbsi Kwi tZ Pwntj Dc-AvBt Dwj wLZ dig ciY Kwi qv wWcuRUwi AskM0bKvix ev wWcuRUwi i w0KU n`vsi Kwi te Ges wWcuRUwi AskM0bKvix ev wWcuRUwi Zvnv Dnvi wmt ÷ tg vj wce x Kwi te|

(4) tKn B`Qv Kwi tj wWcuRUwi AskM0bKvix tK m0w 0 mgq ev mKj mgtqi Rb` ej er wmwKDwi wJ n`vsi msvs-wt` R w tZ cwi te Ges tmB tqt t ZvnvK c0Zevi Dc-AvBt Dwj wLZ dig ciY Kwi tZ nBte bv|

(5) hLb wmwKDwi wJ n`vsi Ges `vbsi Avt`k wgvj qv hvBte ZLb `qswuq cxwZtZ wmwKDwi wJ GK wnmve nBtZ Ab` wnmvte Awej t^`vbsi I n`vsi nBtZ nBte|

(6) fj vj wce xZvi Kvi tY wWcuRUwi hw` tKv wmwKDwi wJi `vbsi ev n`vsi Kwi tZ bv cvti Zte tmB tqt t Awej t^GB e`vcv ti cixqv ev Abm0vb tkl bv nlqv chS-wWcuRUwi Dnv GKw mvguqK wnmve Lv tZ Rgv Kwi te|

(7) tKv wnmve avi K ev cZ`q wnmve avi tKi gZj nBtj Zvnvi %a I qwi m Dc-AvBt Dwj wLZ dig ciY Kwi qv UYvgkb (transmission) Gi Rb` th wWcuRUwi AskM0bKvix ev wWcuRUwi i w0KU wmwKDwi wJ MwQZ AvtQ Dnvi w0KU Avte`b Kwi te| wnmve avi tKi tqt t AskM0YKvix Ges cZ`q wnmve avi tKi tqt t wWcuRUwi c0qvRbxq KvMRC t cixqv ceR UYvgkb Kvhr i Kwi te|

43| WcURUwi tiwRóvfi wj wce× gwj Kvavix e^{w3} m^uúK^uBmjvfiK Z^u mieivn|- (1) c^uZ^uK WcURUwi wqwgZfite BmjvfiK Aa^ewl^u c^uZte^b b tciY, ewl^u c^uZte^b b tciY, K^uvk A^uev óK Wwf^uWU tciY, tiwRóvfi i wKU wi Uv^u wLj BZ^uw^u i Rb^u H Bmjvfi i WcURUwi tiwRóvfi wj wce× wmkDwi wLi gwj Kvbi Z^u (bvq, wKvqv, wmkDwi wLi msL^uv, BZ^uw^u) wmt÷tgi gva^utg mieivn Kwi te Ges Bmjvfi D³ Z^u Abhvqx KvR Kwi te|

(2) Z^u Av^uvb-c^uvb mspvš-hveZxq c×wZ (procedure) Dc-AvBb Øviv wba^u Z nBte|

mBg Aa^uvq
Ab^uvb^u mjeav c^uvb

44| tCR^u mjeav|- (1) WcURUwi A^uev WcURUwi i AskM^uYKvix Dnvi M^unK^uK tCR^u mjeav w^u tZ cwi te|

(2) tCR^u Gi Rb^u WcURUwi i Dc-AvB^ub c^uÉ tCR^u Gi Ab^utiva dig (pledge request form) tCR^u vZv Ges tCR^u M^unZvi t^uq^uimn Rgv w^utj WcURUwi A^uev WcURUwi i AskM^uYKvix tCR^u Kv^uh^u Kwi te|

(3) tCR^u vKv Ae^uvq WcURUwi i wmvte Dnv tCR^u vZvi bv^utg wj wce× w^uKte|

(4) thB wmkDwi wLi tCR^u ivLv nBqv^uQ Dnv tCR^u vKvKvj xb, tCR^u M^unZvi w^ut^u k, Dc-AvBb Øviv wba^u Z c×wZ^uZ, n^uvš^u Kiv hvBte|

45| wmkDwi wLi avi t^u lqv l t^u lqv mspv^u-mjeav|- Kvgk^ubi ce^ubtgv^u b mv^ut^uq^u, WcURUwi, Kvgkb KZ^u Rvvi KZ wmkDwi wLi avi t^u lqv-t^u lqv mspvš-w^uagvj^u w^uavb mv^ut^uq^u, wmkDwi wLi avi t^u lqv l t^u lqv mspvš-mjeav c^uvb Kwi tZ cwi te|

46| tevbm l ivBU tkqvi Bmj|- thB mKj Bmjvfi i wmkDwi wLi WcURUwi tZ msi^uq^uZ w^uKte tmB mKj Bmjvfi tKvb tevbm ev ivBU tkqvi Bmj Kwi t^u Zte D³ wmkDwi wLi eiv^u, wmt÷tgi gva^utg, WcURUwi tZ Ašf^u Kwi tZ nBte hvn^u m^usk^u WK^ug^uU mn c^uB Bm^uvfi i wj wLZ w^ut^u R c^uBi ci Dnv ci^uq^u mv^ut^uq^u Kv^uh^u Kiv hvBte|

47| j f^uvs^u w^uZib|- Bmjvfi KZ^u Ab^utiva Kiv nBtj Dnvi t^unw^u Z j f^uvst^uki lqv^ut^uU WcURUwi i gva^utg w^uZib Kiv hvBte| Zte tmB t^uq^ut^u mgqg^uZv j f^uvs^u w^uZib Ges j f^uvs^u w^uZib tk^ul Kvgk^ubi w^ut^ucv^u w^uLtj i w^uqZ^uh^uvi w^uZ Bmjvfi i DciB envj w^uKte|

Aóg Aa^uvq
w^uea

48| WcURUwi c^uÉ tmevi w^ud|- WcURUwi, Dnvi c^uÉ tmevi Rb^u, Zdmxj -040 G Dwj w^uLZ w^ud M^uh^u Kwi tZ cwi tet

Zte kZ^uv^uK th, D³ w^ud e^uwZZ Ab^u tKvb Lv^uZ [thgb, LiP c^upi^u×vi (recoverable cost), Rgv (deposit) BZ^uw^u] A^uAv^uvq Kwi tZ nBtj Zv^un Dc-AvBb Øviv wba^u Y Kwi tZ nBte|

- 49| meŋvavi tbi Rb" cwi`kŋ|- wWcURUwi Awdm Pj vKvj xb mgŋq Rbm vavi tbi cwi`kŋbi Rb" wŋæwj wLZ
Z_, KvMRcĬ BZ" w` cŋ Z i wLte, h_vt-
(K) thvM" wmwKDwi wJi Zvwj Kv;
(L) wWcURUwi AskMŋbKvixt` i bvg, wKvbr I tUwj tdlb bŋt;
(M) wWcURUwi AvBb, wWcURUwi cŋeavbgvj v, Dc-AvBb, g"vbgvj BZ" w` ;
(N) wWcURUwi ti wRŋvi ; Ges
(O) Kvgkb KZŋ wbt` ŋkZ Ab" tKvb Z_, KvMRcĬ BZ" w` |
- 50| AwfthvM I mgvavb|- wWcURUwi Zvnvi eiveŋi `wLj KZ mKj AwfthvM h_vh_fvte wj wce x i wLte Ges
AwfthvM mgvavt ZvrŋwK h_wenxZ e"e"v MŋY Kwi te|
- 51| Kvgktb wi tcvU" wLj |- (1) wWcURUwi Kvgktb, Ab"vŋb"i gŋa", wŋæwj wLZ wi tcvU" wLj Kwi te, h_vt-
(K) ceŋZx"Kvhŋ eŋm ōK G· tPŋÄ t j bt` b e" wZZ wmwKDwi wJi gwj Kvbr cwi eZŋbi weebx-
cieZx"Kvhŋ eŋmi gŋa";
(L) ceŋZx"Kvhŋ eŋm n"vŋŋKvixi wmwte wmwKDwi wJi bv_vKvi Kvi t b ōK G· tPŋÄ t j bt` b
wŋŋwE bv nBtj Dnvi weebx- cieZx"Kvhŋ eŋmi gŋa";
(M) tKvb AskMŋbKvixi wei"t x tKvb kw" gj K e"e"v Mŋb Kiv nBtj Dnvi weebx-cieZx" wZb
Kvhŋ eŋmi gŋa";
(N) wmt ÷ t g tKvb wechŋ t` Lv w t j Zvnv ZvrŋwK AwmZ Kib mspvš-wi tcvU" Ges
(O) wWcURUwi i Aaewl ŋ wi tcvU" cieZx" GKgvŋmi gŋa" Ges ewl ŋ Aw_ŋ weebx- cieZx" wZb
gvŋmi gŋa" |
- (2) Kvgkb mgŋq mgŋq Ab" th tKvb Z_ Pwntj Zvnv Kvgktbi wbt` ŋ Abhvx wWcURUwi mieivn
Kwi tZ eva" wKte|
- 52| wWcURUwi I Ab"vŋb"i Awakvi I `vq-`wqZj|- wWcURUwi, wWcURUwi AskMŋbKvix, Bmj qvi Ges
Bmj qvŋi i GŋRŋUi Awakvi I `vq-`wqZj wWcURUwi AvBb, wWcURUwi cŋeavbgvj v mn GB cŋeavbgvj v,
wWcURUwi i Dc-AvBb Ges msŋkŋ-cŋ mgŋni gŋa" mŋw` Z AvBbvŋM Pw" i gva"t g wbaŋi Z nBtet
Zte kZ"vŋK th, GB tŋtĬ KLtbr tKvb mgm"v D"Z nBtj ZLb GB e"vcvŋi cŋ ŋ Kvgktbi wmxvš-
Povš-ewj qv MY" nBte|
- 53| kw" t- GB cŋeavbgvj vi tKvb cŋeavb ev Dnvi Aaxb cŋ ŋ wbt` ŋ jsNbKvixi Z_v Kvgktbi Z`ŋš-
Awfhŋ e" w" i wei"t x Kvgkb, AvBb ŋviv cŋhvR" kw" t AwZwi " kw" gj K e"e"v wmwte, msŋkŋ-wbeÜb
mb` ev mbt` i KvhŋwZi Zv wMZ ev ewmZj Kwi tZ cwi tet
Zte kZ"vŋK th, msŋkŋ-e" w" tK Kvi Y` kŋbv mn i bvbxi mŋhvM cŋvb e" wZŋi tK tKvb kw" gj K e"e"v
Mŋb Kiv hvŋte bv t
Avi I kZ"vŋK th, msŋŋä e" w" wmwKDwi wJR I G· tPÄ Kvgkb (Avxj) cŋeavbgvj v, 1995 Gi Aaxt
Kvgktbi wmxvŋŋŋ wei"t x Kvgktb Avxj Kwi tZ cwi te hrv D" cŋeavbgvj v Abhvx wŋŋwE Kiv
nBte|

54 | Bstirx Abye` cW cKvk|-GB cleavbgvj v cZbi ci Kngkb evsj v` k tMtrU cVcb Øvi v, GB
 cleavbgvj vi Bstirx Abye` Z GKU wbfthM` cW cKvk Kwi te hrv GB cleavbgvj vi Abfgw` Z Bstirx
 cW (Authentic English Text) bvtg AwfwnZ nBtet

Zte kZ_vtK th, GB cleavbgvj v I D³ Bstirx cvtVi gta` wetivtai ttt GB cleavbgvj v clavb`
 cvBte|

Zdmxj -1
[cēavb 27, Dc-cēavb (2)]
WcūRUwi AskMōYKvi x wnmvte vbeŪtbi Avte`b

[mswēae× ms⁻v, e⁻vsK ev exgv tKv^{au}vbx, óK wWj vi ev óK tetKvi nBtj tKej gvĭ 1 nBtZ 7 bs pūg†K Dwj wēZ
Z⁻vej x cŌ vb Kwi t†j Pwj te|]

- 1| Avte`bKvixi bvg t
- 2| Avte`bKvixi wKvbn t
[wKvbn cwi ewZ² nBtj Dnv wZb Kvhp etmi gta² msuké- wWcūRUwi I Kūgkb†K wj wLZfvte AewNZ
Kwi t†Z nBte]
- 3| Avte`bKvi x th wWcūRUwi i AskMōYKvi x nBtZ B²QK Dnvi -
(K) bvg I wKvbn t
(L) tKvW bs t
(M) tKvW cŌ v†bi Zwi L t
- 4| Avte`bKvi x tKvb tKvxi tmev cŌ vb Gi Rb² mb⁻ cŌ⁻Pt -----AskMōYKvi x
- 5| Avte`bKvixi AvBbMZ gh² v t tKv^{au}vbx/mswēae× ms⁻v
(AcŏhvR²wJ KvūQv w⁻b| mswēae× ms⁻v, e⁻vsK ev
exgv tKv^{au}vbx nBtj msuké-AvBb/ti wRtók b mb†⁻ i
mZ⁻wqZ Qvqvij wC Ges me†Kl wbi xw†jZ ewl R
weei Yx mshy³ Kwi t†Z nBte)|
- 6| Avte`bKvixi c††j⁻ v††j i Kvix e⁻w³ i bvg t
I cŌ Ē ††gZvi weei Y
(††gZvc††i Ab†j wC⁻ wLj Ki⁻b)|
- 7| Avte`bKvixi (K) Aw†mi tdvb, d⁻v. t
I B-†gBj b²†-
(L) cāvb wbe†xi evmvi tdvb, d⁻v. , B-†gBj b²†-
- 8| Avte`bKvi x tKvb tKv^{au}vbx nBtj tKv^{au}vxi t
cwi Pvj KM†Yi bvg, wKvbn Ges Ab⁻ th mKj
tKv^{au}vbx†Z/cŌZōv†b gvij Kvbn ev e⁻emwqK
m^{au}K²wqvtQ Zvnvi weei Y|
- 9| Avte`bKvixi wmwKDwi wJ e⁻emvtq AwfÁZv (hw⁻ _v†K) t
- 10| Avte`bKvixi bxU gj ab w⁻wZ (.....Zwi t†L) t
[wbi xw†jZ Aw⁻R wnmve weei Yx⁻ wLj Ki⁻b)|
- 11| Avte`bKvi x tKvb gv†P² e⁻vsKvi , tcvU†dvij I t
g⁻v†bRvi , wqDP⁻†vj dv†Ūi U†w÷ , tndvRZKvi x
ev m^{au}⁻ e⁻e⁻vcbv tKv^{au}vbx wKbv?
- 12| Avte`bKvi x ev Dnvi cwi Pvj KgŪj xi tKvb m⁻m⁻ t
tKvb mgq Rvwj qvwZ, cŪvi Yvgj K ev AmvayKvh²
Kj vcRwbZ tKvb tdŠR⁻vix Aciv†ai⁻ v†q⁻ wŪZ
nBqvwQj wKbv? nBtj KLb, tKv⁻vq Ges tKvb
Aciv†a?

- 13| Avte`bKvix ev Dnvi cwi Pvj KgÛj xi tKvb m`m` t
 tKvb mgq Av`vj Z KZK t`Dwj qv ev weKvi MÔ –
 ewj qv tNwvl Z nBqvwQj wKbv? nBtj KLB, tKv_vq?
- 14| Avte`bKvix ev Dnvi cwi Pvj KgÛj xi tKvb m`m` t
 tKvb e`vs†Ki FY tLj vcx wKbv? nBtj tKvb e`vsK
 Ges KZ UvKv?
- 15| Avte`bKvixi Abtgvw` Z cŃZwbwa (hw`_v†K) Gi -
 (K) bvg
 (L) wcZvi bvg
 (M) `vqx wKvbx
 (N) eZgvb wKvbx
 (O) Awd†mi wKvbx
 (P) wk¶vMZ thvM`Zv
- 16| Avte`bKvixi KgPvixi msL`v t
 17| Ab`vb` Z_`w` t
 Avg GB gtg¶†Nvl Yv Kwi tZwQ th, Dc†i cŃ Ě Z_`w` Avgvi Ávb I wek/mgtZ mZ` I mwVK|

.....Gi c†¶-

Zwi L.....

.....
 Avte`bKvixi ¶¶i I mxj

wWcwRUwi i mpcwi k/gše`t

Zwi Lt-----

 cärb wbe¶x KgKZ¶ ¶¶i I mxj

Zdmxj -2
[cēavb 30, Dc-cēavb (1)]
WcwRUwi AskMōYKvi xi vbeÜb mb`

(Kıgkṭbi gṭbvMōg)

wmwKDwiUR I G. ṭPÄ Kıgkb
WcwRUwi AskMōYKvi xi vbeÜb mb`

vbeÜb mb` bs-----

mb` cōvṭbi Zwi L-----

WcwRUwi (e`enwı K) cēavbgvj v, 2003 Gi cēavb 30 ṭgvZvṭeK wmwKDwiUR I G. ṭPÄ Kıgkb
GZØvi v..... (bvg wj Lṭ) WKvbv
.....ṭK (WcwRUwi i bvg) Gi vbeÜJ-----AskMōYKvi x wmwṭe KvR Kivi Rṭb`
GB vbeÜb mb` cōvb Kwı j |

GB vbeÜb mb`Zwi L chS-ej er _vKṭe Ges cieZṭZ Dnv AÎ mbṭ` i cōvṭZ cōË wbow` Ø
QṭK beqb Kiv hvBṭe |

wmwKDwiUR I G. ṭPÄ Kıgkṭbi cṭṭ]-

(mb` cōvbKvi x KgRZṭ -ṭṭi)
bvgṭ-----
c`ex-----
mxj

mb` beqbb

wbeÜb mb` bs-----

Zwi L-----

th Zwi L chS-bevqZ	cwi ewZZ wKvbn, AvBbMZ ghP v BZ`w` (c0hvR` nBtj)	bevqbKvi x KgRZ0 -v i l mxj

Zdmxj -3
[cêavb 30, Dc-cêavb (6)]
WcURUi AskMhYKvixi ubeÜb mb` bevgb

WcURUi AskMhYKvixi ubeÜb mb`i bevgtbi Avte`b

- | | | |
|---|---|---|
| 1 | AskMhYKvixi bvg I tkYx | t |
| 2 | ubeÜb mb` bs | t |
| 3 | mb` c0vb, mefkI bevgb
I tgv` DEtYf Zwi L | t |
| 4 | cwi ewZ Z WKvbv (hw` _vtK)
(K) `vqx
(L) eZgvb
(M) Awclm
(N) tUwj tdvb, d`v , B-tgBj b=t | t |

- 5| AskMhYKvixi c0Z0vtbi ^enkto`i e`vcti tkvb
KvWtgvMZ ev AvBbMZ cwi eZ nBtj
Dnvi msvtj eYb

t

(tkvb cwi eZ nBqv _wktj Dnv cwi eZ bi Abvj wcmn wZb Kvhp e`mi gta` msk0-WcURUi I KvgkbK wj wLZfvte AewnZ Kwi tZ nBte)

Awg GB gtgNvi Yv Kwi tZwQ th, Dcti c0 E Z`w` Avgvi Ávb I wek/mgtZ mZ`|

.....Gi ctq|-

Zwi Lt.....

.....
Avte`bKvix`qIi I mxj

WcURUi i mpcwi kt

GB gtg© cZ`qb Kiv hvBtZtQ th..... (bvg wj Lp)
WKvbv..... GB WcURUi i----- AskMhYKvix|

wmKDwi UR I G· tPÄ Kvgkb KZf Zvni ubeÜb mb`-----Zwi L chS-bevgtbi Rb` mpcwi k Kiv nBj |

Zwi Lt.....

.....
(c0vb ubeÜx KgRZf`qIi I mxj)

Zdmxj -4
[cēavb 48]
wWcūRUwi cŌ Ē tmevi wd

μgK bs	wd Gi LvZ	wd nvi	b`bZg wd (UvKvq)	wd wbaŋ tbi wfwĒ	cwi tkaKvi x	KLb cŌ`q
1	ARo (demat) wd	UvKv 0.00025	5	wmwKDwi wJi evRvi gj`	AskMhYKvi x	gvm tkłI
2	tj bt`b (transaction) wd	UvKv 0.00025	5	H	AskMhYKvi x/ wmwveavi K	H
3	KvŌwW (custody) wd	evrmwi K 0.050%	5	H	H	H (w`wbK wmwvtei wfwĒtZ)
4	wWcūRUwi i msthvM wd	gvmK UvKv 500	---	cŌg msthvMi Rtb` gvmK wbw` Ō wd	AskMhYKvi x/ Bm`gvi	gvmK AMŋg
5	wWcūRUwi i AwZwi ³ msthvM wd	gvmK UvKv 5,000	---	cŌZwJ AwZwi ³ msthvMi Rtb` gvmK wbw` Ō wd	H	H
6	Bm`KZ thvM` wmwKDwi wJi AwfwvZ gŋj`i (face value) Dci ewl Ŕ wdt - cuP tKwJ UvKv chŌ- - cuP tKwJ UvKvi Dta`Zte wek tKwJ UvKv chŌ- - wek tKwJ UvKvi Dta`	UvKv 25,000 UvKv 50,000 UvKv 1,00,000	--- --- ---	cŌZwJ thvM` wmwKDwi wJi Rtb` ewl Ŕ wbw` Ō wd	Bm`gvi	ewl Ŕ AMŋg
7	bZb Bm`yAvBwC (IPO) wd	UvKv 0.00025	---	Bm`j gj`	Bm`gvi / AskMhYKvi x	Avte` tbi mvŋ`_ / gvm tkłI
8	cptRo (remat)wd	UvKv 0.10	100	cŌZwJ wmwKDwi wJ	AskMhYKvi x/ mi vmwi wmwveavi K	gvm tkłI
9	`wvj wj K (documentation) wd	UvKv 2,500	---	GKKvj xb, wbw` Ō	AskMhYKvi x/ Bm`gvi / mi vmwi wmwveavi K	wmwve tLvj vi mgq
10	tŌUtgŋUi (statement) Rtb` Avte` b wd/ tŌUtgŋU ciwŋŋY (verification) wd	UvKv 10	---	cŌZ cŋv	wmwveavi K	Avte` tbi mvŋ`_

wmwKDwi wJR I G- tPÄ Kvgkb Gi cŋŋ-

gwbi Dwil`b Avntg`
tPqvi g`vb

